

10/19/99  
JC377 U.S. PTO

A  
Patent  
246/285

To: Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

JC588 U.S. PTO  
09/421506  
10/19/99

**NEW APPLICATION TRANSMITTAL**  
**CLAIMING PRIORITY ON NONPROVISIONAL APPLICATION**

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** Salvatore Albani

**Title:** Method for Isolation, Quantification, Characterization and Modulation of  
Antigen-Specific T Cells

**I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):**

107 Page(s) of Written Description  
45 Page(s) Claims  
1 Page(s) Abstract  
24 Sheets of Drawings ☐ Informal ☒ Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

☒ Declaration  
☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration  
☐ Assignment to \_\_\_\_\_ and assignment cover sheet  
☒ Verified Statement establishing "**Small Entity**" under 37 CFR §§ 1.9 and 1.27

SD-133609.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

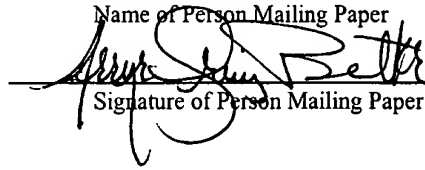
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL 088595219 US  
Express Mail Label No.

October 19, 1999  
Date of Deposit

Irene Grimes Betke

Name of Person Mailing Paper

  
Signature of Person Mailing Paper

- ☐ Priority Document No(s): \_\_\_\_\_
- ☒ Information Disclosure Statement w/PTO 1449      ☒ Copy of Citations
- ☒ Sequence Listing and diskette and verification statement
- ☐ Preliminary Amendment
- ☒ Return Postcard

**III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

<b>BASIC FILING FEE:</b>						\$760.00
Total Claims	219	-	20	=	199 x \$18.00	\$3582.00
Independent Claims	20	-	3	=	17 x \$78.00	\$1326.00
Multiple Dependent Claims	\$260	(if applicable)			<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$5668.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						<input checked="" type="checkbox"/> \$2834.00
Misc. Filing Fees (Recordation of Assignment -- \$40) Petition for color drawing						\$130.00
<b>TOTAL FEES SUBMITTED HERewith</b>						\$2964.00

**IV. METHOD OF PAYMENT OF FEES**

- ☐ A check in the amount of \_\_\_\_\_.
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$2,964.00.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

**V. AUTHORIZATION to CHARGE FEES**

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- ☒ 37 CFR § 1.16(a), (f) or (g) – **(Filing fees)**
- ☒ 37 CFR § 1.16(b), (c) and (d) – **(Presentation of Extra Claims)**
- ☒ 37 CFR § 1.16(e) – **(Surcharge for filing the basic filing fee and/or Declaration on a date later than the filing date of the application)**
- ☒ 37 CFR § 1.17 – **(Any Application processing fees)**

☒ Credit Lyon & Lyon's Deposit Account No. 12-2475 for overpayment of fees.

Respectfully submitted,

LYON & LYON LLP

Dated: October 15, 1999

By: *DMW*

Reg. No. 37,549

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(213) 489-1600

633 West Fifth Street, Suite 4700

Applicant : Salvatore Albani  
 Serial or Patent No. : To be assigned  
 Filed or Issued: Filed Herewith  
 For: Methods for Isolation, Quantification, Characterization and Modulation of Antigen-Specific T cells.

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR 1.9(f) AND 1.27(b)) – INDEPENDENT INVENTOR**

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark office with regard to the above-entitled invention described in

- ☒ the specification filed herewith
- ☐ the application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below.

- ☒ no such person, concern or organization.
- ☐ persons, concerns or organizations listed below:

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

**\*NOTE:** Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Salvatore Albani

TITLE OF PERSON SIGNING: Inventor

ADDRESS OF PERSON SIGNING: c/o Cevis; 4186-M Sorrento Valley Blvd., San Diego, CA 92121

Signature of Inventor \_\_\_\_\_ Date: \_\_\_\_\_